

ODESSA COLLEGE

Required Disclosure of Certain Investment Information For the Year Ended August 31, 2020

1. (a) Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)?

NO.

- (b) Do the outside investment advisors or managers have the authority to make investment decisions without obtaining prior approval?

NO. The District's investment officers approve all investment decisions.

2. Does the institution use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements (these arrangements typically involve using brokerage commissions as a means of paying for other related investment services through credits of a portion of brokerage commissions paid rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)?

NO. The District does not use soft dollar, directed brokerage or commission, commission recapture, or similar arrangements.

3. Is the institution associated with an independent endowment or foundation?

YES. The Odessa College Foundation provides scholarship assistance to students of the institution.

Contact information:

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Brandy Ham, Treasurer
Odessa College Foundation
201 W University Blvd
Odessa, TX 79704

The market value of the Foundation's investments was approximately \$12,607,000 at August 31, 2020.